PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ع المخترب

Docket No: Q77321

Hiroshi OKUMURA

Appln. No.: Unknown

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: February 9, 2004 Examiner: Unknown

For: THIN FILM TRANSISTOR SUBSTRATE AND METHOD FOR MANUFACTURING THE

SAME

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. Japanese Patent Application Publication No. 5-335573, published December 17, 1993.
- 2. Japanese Patent Application Publication No. 2003-45892, published February 14, 2003.
- 3. Japanese Patent Application Publication No. 8-250742, published September 27, 1996.
- 4. Japanese Patent Application Publication No. 11-307777, published November 5, 1999.
- 5. Japanese Patent Application Publication No. 2000-353811, published December 19, 2000 with English Abstract.

Hiroshi OKUMURA Q77321

INFORMATION DISCLOSURE STATEMENT

The present Information Disclosure Statement is being filed: (1) No later than three months from

the application's filing date; (2) Before the mailing date of the first Office Action on the merits

(whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign

language documents, Applicant states that above references 1-4 are discussed within the specification

beginning at page 1, line 22.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

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WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: February 9, 2004

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Substitute for Form 14	H9 A & B/I TO	6 . 6.1		Application Number	Unknown		
INFO	RMATION	DISCLOS	URE	Confirmation Number	Unknown		
STATEMENT BY APPLICANT			ANT	Filing Date	February 09, 2004		
				First Named Inventor	Hiroshi OKUMURA		
(use as many sheets as necessary)				Art Unit	Unknown		
			Examiner Name	Unknown			
Sheet	1	of	1	Attorney Docket Number	Q77321		

U.S. PATENT DOCUMENTS						
		Document	Document Number			
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or	m 14 6	
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		JP	5-335573	Α	12/17/1993		
		JP	2003-45892	Α	02/14/2003		
		JP	8-250742	Α	09/27/1996		
		JР	11-307777	Α	11/05/1999		
		ЛР	2000-353811	A	12/19/2000	Semiconductor Energy Lab Co., Ltd.	English Abstract
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Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.